

# Staffordshire CCGs IAF End of Year Assessment and IAF 2018/19 Dashboard

## Performance 2018/19: IAF 2018/19

The CCGs' Annual Assessment rating against the Improvement and Assessment Framework for 2018/19 was undertaken in Spring 2019 and the outcomes are as follows:

- Cannock Chase CCG – Inadequate (Inadequate 17/18)
- East Staffordshire CCG - Good (Good 17/18)
- North Staffordshire CCG – Inadequate (Good 17/18)
- South East Staffordshire & Seisdon Peninsula CCG – Inadequate (Inadequate 17/18)
- Stafford and Surrounds CCG – Inadequate (Inadequate 17/18)
- Stoke on Trent CCG – Inadequate (Good 17/18)

# Performance 2018/19: IAF 2018/19

The CCGs have made progress in the following areas:

- Achieving a number of the NHS performance standards, notably:
  - IAPT standards (access and recovery)
  - improvement in A&E 4 hour performance
- Reducing the number of 52 Week waiters across Staffordshire with zero reported at all of the Staffordshire Core Providers including University Hospital North Midlands (UHNM)
- The number of breaches and long waits for cancer patients has significantly improved

Focus will continue around:

- CCGs finances
- Reliance on specialist inpatient care for people with learning disabilities or autism indicator
- Areas of under performance, specifically:
  - Dementia Diagnosis
  - Delayed Transfers of Care
  - Continuing Health Care
  - Personal Health Budgets

# Areas of success and continued focus (IAF Q4 18/19)

## SUCCESSSES:

movement out of the LQ for all CCGs or Green ratings

1. **Mental health out of area placements** – All CCGs in the highest performing quartile or interquartile range.
2. **Early Intervention Psychosis 2 week** - All CCGs in the highest performing quartile or interquartile range.
3. **Mental Health Investment Standard** – new data Q4 2018/19 CC, SES&SP and SAS improved from Red to Green.
4. **Primary care extended access** – new data 2019 03. All HQ at 100%.
5. **Primary care transformation investment** – All CCGs green.
6. **Achievement of clinical standards in the delivery of 7 day services** – new data 2017-18. All HQ except SES&SP IQ.
7. **Percentage of patients waiting 6 weeks or more for a diagnostic test** – new data 2019 03. SES&SP improvement from LQ to IQ and SaS improvement from IQ to HQ. CC improvement from LQ to IQ from 86 to 101.

## AREAS FOR CONTINUED FOCUS:

More than 3 CCGs in LQ or deteriorating performance or ranking position in quartile

1. **Personal Health Budgets** – all 6 CCGs in LQ. NS and SAS have moved from IQ to LQ.
2. **High quality – adult social care** - all 6 CCGs in LQ
3. **Delayed transfers of care per 100,000 population** (new data 2019 03, no change in quartile status) - all 6 CCGs in LQ
4. **Staff engagement index** - all 6 CCGs in LQ
5. **Percentage of deaths with 3+ emergency admissions in last 3 months of life** – SES&SP from IQ to LQ.
6. **Cancers diagnosed at an early stage** – SOT from IQ to LQ.
7. **Cancer 62 day target** – CC from IQ to LQ and SES&SP from HQ to LQ.
8. **Women's Experiences of Maternity Services** – CC from IQ to LQ; SOT from HQ to LQ.
9. **Choices in Maternity Services** – CC and NS from IQ to LQ; SAS from HQ to LQ.
10. **Percentage of NHS CHC assessments taking place in acute hospital setting** – ES from IQ to LQ.
11. **Staff Engagement Index** – CC, ES, SES&SP and SAS from IQ to LQ.
12. **Working Relationship Effectiveness** – SES&SP from IQ to LQ.

## IMPROVEMENTS:

showing movement in performance for one or more CCGs

1. **E-referrals** – all CCGs in the HQ. Only SES in the IQ.
2. **Transforming Care** – all CCGs remain in IQ. Performance improved to 124.
3. **Progress against the Workforce Race Equality Standard** – position improved with 2 CCGs in the HQ (ES and SES).
4. **Estimated diagnosis rate for people with dementia** – new data Feb 2019. 4 of the CCGs saw an improvement in performance.

1. **Mental health out of area placements** – All CCGs in the HQ or IQ.
  - **Quartile Risks** – There is a risk that ES could slip into the LQ range (currently ranked 117 out of 195 CCGs) and in the lower half of the IQ.
  - **Delivery Risks** – No risks identified.
  
2. **Early Intervention Psychosis 2 week** - All CCGs in the HQ or IQ. All CCGs are meeting the national standard (50%) and the national average (75.90%). SAS CCG achieved 100% and is ranked 1<sup>st</sup> nationally.
  1. **Quartile Risks** – There is a risk that SES could slip into the IQ range (currently ranked 41 out of 195 CCGs) and ES in the lower half of the IQ meaning risk of slippage into the LQ.
  2. **Delivery Risks** – No risks identified.
  
3. **Mental Health Investment Standard** – new data Q4 2018/19 CC, SES&SP and SAS improved from Red to Green.
  - **Quartile Risks** – This indicator is not ranked, only RAG rated.
  - **Delivery Risks** – performance against this indicator has declined into 2019/20. However, the system will engage with NHSEI to ensure accurate reporting of the mental health investment standard, and remain committed to meeting this during 2019/20.
  
4. **Primary Care Extended access** – new data 2019 03. All HQ at 100%.
  - **Quartile Risks** – n/a
  - **Delivery Risks** - Sustainability and transformation requires sufficient recruitment and workforce expansion.
  
5. **Primary care transformation investment – All CCGs rated GREEN**
  - **Quartile Risks** – This indicator is not ranked, only RAG rated.
  - **Delivery Risks** – n/a
  
6. **Achievement of clinical standards in the delivery of 7 day services** – new data 2017-18. All HQ except SES&SP IQ.
  - **Quartile Risks** – n/a
  - **Delivery Risks** – Continue mapping 7 day provision across Staffordshire against national standards.

**7. Percentage of patients waiting 6 weeks or more for a diagnostic test – new data 2019 03. SES&SP improvement from LQ to IQ and SAS improvement from IQ to HQ. CC improvement from LQ to IQ from 86 to 101.**

- **Quartile Risks** – There is a risk that ES and NS could slip into the LQ range (currently ranked 121 and 118 out of 195 CCGs) and in the lower half of the IQ.
- **Delivery Risks** – Mixed performance seen across the 6 CCGs into M3 19. Improving performance for CC and SES, however, declines in performance for SAS, NS and SOT. Actions are being taken at UHNM around under-performing specialities (Sleep Studies, MRI, Cystoscopy, Colonoscopy) and UHDB (underperformance seen mainly in Cardiology).

Indicators	Target	Stafford & Surrounds				Rolling 12 Months Trend / Performance	Cannock Chase				Rolling 12 Months Trend / Performance	South East Staffs & Seisdon Peninsula				Rolling 12 Months Trend / Performance	
		19/20 YTD	Apr 19	May 19	Jun 19		19/20 YTD	Apr 19	May 19	Jun 19		19/20 YTD	Apr 19	May 19	Jun 19		
<b>Diagnostic test waiting times</b>																	
Diagnostics 6 weeks +	99%	98.71%	98.68%	99.05%	98.41%		98.90%	98.86%	98.63%	99.20%		98.99%	98.86%	98.92%	99.18%		

  

Indicators	Target	East Staffordshire CCG				Rolling 12 Months Trend / Performance	North Staffordshire CCG				Rolling 12 Months Trend / Performance	Stoke on Trent CCG				Rolling 12 Months Trend / Performance	
		19/20 YTD	Apr 19	May 19	Jun 19		19/20 YTD	Apr 19	May 19	Jun 19		19/20 YTD	Apr 19	May 19	Jun 19		
<b>Diagnostic test waiting times</b>																	
Diagnostics 6 weeks +	99%	97.69%	98.08%	97.18%	97.79%		97.80%	98.14%	97.87%	97.36%		97.81%	98.13%	97.89%	97.36%		

**IMPROVEMENTS:****showing movement in performance for one or more CCGs**

1. **E-referrals** – all CCGs in the HQ. Only SES in the IQ.
  - **Quartile Risks** – n/a
  - **Delivery risks** – Improved performance is expected to be seen for SES&SP but this will depend on the providers ability to reduce their ASI's. Potential risk that SESSP continue to report lower than target due to the extended programme for paper switch off for main activity (HEFT).
  
2. **Transforming Care** – all CCGs remain in IQ. Performance improved to 124.
  - **Quartile Risks** – All 6 CCGs in the lower part of the IQ with a risk of slipping into LQ
  - **Delivery Risks** – The system is on track with its 2019/20 trajectory for TCP, and is seeking to understand where capital investment should be targeted to grow local capacity.
  
3. **Progress against the Workforce Race Equality Standard** – position improved with 2 CCGs in the HQ (ES and SES).
  - **Quartile Risks** – n/a
  - **Delivery Risks** – n/a
  
4. **Estimated diagnosis rate for people with dementia** – new data Feb 2019. 4 of the CCGs saw an improvement in performance.
  - **Quartile Risks** – ES, SES and SAS are all in the lower part of the LQ
  - **Delivery Risks** – Focus around the dementia action plan needs to be prioritised to see any changes in the worse performing CCGs.

## AREAS FOR CONTINUED FOCUS:

More than 3 CCGs in LQ or deteriorating performance or ranking position in lowest quartile

1. **Personal Health Budgets** – all CCGs in LQ. NS and SAS have moved from IQ to LQ.
  - **Quartile Risks** – Risk identified in Q3 realised as NS and SAS have slipped into the LQ in Q4.
  - **Delivery risks** – The non-delivery of PHBs is on the CCG risk register. On the CCG risk register ID 598 (risk score 12).
2. **Provision of high quality care: adult social care** – all 6 CCGs in the lowest quartile with wide variation identified in the quality of care available in care homes in Staffordshire.
  - **Quartile Risks** – all CCGs are in the lower part of the LQ range
  - **Delivery Risks** – increased number of nursing homes closing and nursing home regulatory failure and independent care home sector on CCG risk register ID 603.
3. **Delayed Transfers of Care** – new data 2019 03, no change in quartile status. All CCGs are in the lower part of the LQ and Staffordshire have a DTOC rate above the National Average (NA). SOT is ranked second worse in the country. Reductions are evident in all CCG's but with a pattern of fluctuation.
  - **Quartile Risks** – NA
  - **Delivery Risks** – Flow through the commissioned capacity and achievement of DTOC trajectories in line with BCF. On CCG risk register ID 618.
4. **Staff Engagement Index** – decline in staff engagement scores seen (2018 data) and all 6 CCGs now in LQ. CC from 118 to 184, ES from 63 to 188, SES&SP 114 to 187 and SaS 123 to 181 from IQ to LQ. NS remained LQ 152 to 186. SOT remained LQ 157 to 185.
5. **Percentage of deaths with 3+ emergency admissions in last 3 months of life** - SES&SP from IQ to LQ. All other 5 CCGs remain in the IQ.
  - **Quartile Risks** – CC, ES, NS, SAS and SOT CCGs are all in the lower half of the IQ with a risk they could slip into the LQ.
  - **Delivery Risks** – NA
6. **Cancers diagnosed at an early stage** – SOT has declined from IQ to LQ. ES remained in the LQ.
  - **Quartile Risks** – SES and NS are in the lower half of the IQ
  - **Delivery Risks** – NA



## AREAS FOR CONTINUED FOCUS:

More than 3 CCGs in LQ or deteriorating performance or ranking position in lowest quartile

### 7. Cancer 62 days of referral to treatment – CC from IQ to LQ and SES&SP from HQ to LQ. ES remained in LQ.

- **Quartile Risks** – CC, NS, SES and SOT have seen quarterly deteriorating performance against the IAF. There is a risk that SOT may drop into the LQ as they are in bottom part of the IQ. Cancer performance has continued to decline into Q1 19/20 so there is unlikely to be any improvement in the next IAF quarterly update.
- **Delivery risks** – NA

Indicators	Target	Stafford & Surrounds					Cannock Chase					South East Staffs & Seisdon Peninsula				
		19/20 YTD	Apr 19	May 19	Jun 19	Rolling 12 Months Trend / Performance	19/20 YTD	Apr 19	May 19	Jun 19	Rolling 12 Months Trend / Performance	19/20 YTD	Apr 19	May 19	Jun 19	Rolling 12 Months Trend / Performance
<b>Cancer waits</b>																
Cancer 62 day standard	85%	74.63%	77.55%	68.29%	77.27%		61.64%	52.38%	59.09%	70.00%		72.60%	66.00%	88.64%	65.38%	

  

Indicators	Target	East Staffordshire CCG					North Staffordshire CCG					Stoke on Trent CCG				
		19/20 YTD	Apr 19	May 19	Jun 19	Rolling 12 Months Trend / Performance	19/20 YTD	Apr 19	May 19	Jun 19	Rolling 12 Months Trend / Performance	19/20 YTD	Apr 19	May 19	Jun 19	Rolling 12 Months Trend / Performance
<b>Cancer waits</b>																
Cancer 62 day standard	85%	82.42%	92.31%	80.65%	76.47%		69.40%	62.07%	76.56%	68.85%		72.92%	73.21%	72.06%	73.53%	

### 8. Women’s Experiences of Maternity Services – CC from IQ to LQ; SOT from HQ to LQ.

- **Quartile Risks** – SAS is ranked in the lower part of the IQ (140) with a risk of slipping into the LQ
- **Delivery risks** – NA

### 9. Choices in Maternity Services – CC and NS from IQ to LQ; SAS from HQ to LQ.

- **Quartile Risks** - ES is in the lower half of the UQ range with a risk that they could slip into the IQ if other CCGs improve at a rate faster than ours. SOT is in the lower half of the IQ range with a risk of slippage into the LQ.
- **Delivery Risks** – Clinical exceptions. For example, a women may want to deliver at home but due to her risk factors this option may not be given.

### 10. Percentage of NHS CHC assessments taking place in acute hospital setting –

- **Quartile Risks** – ES and SAS are ranked in the lower part of the IQ (142 and 119) with a risk of slipping into the LQ
- **Delivery risks** – NA

### 11. Staff Engagement Index – new data (2018). CC, ES, SES&SP and SAS slipped from IQ to LQ. All 6 CCGs at the lowest half of the LQ.

- **Quartile Risks** – NA
- **Delivery risks** – NA

### 12. Working Relationship Effectiveness – SES&SP from IQ to LQ.

- **Quartile Risks** – NS is ranked at the bottom of the IQ (145) with a risk of slipping into the LQ
- **Delivery risks** – NA

# Where is the CCG focusing to improve performance on the IAF?

Ref	Name	Frequency	Latest period	Refreshed in Q4 IAF	Lowest Quartile	Opportunities
102a	Percentage of children aged 10-11 classified as overweight or obese	Annual	2015-16 to 2017-18	Yes	Stoke	SAS is not far from UQ range being ranked 52 (UQ 48.75) requiring a decrease of 7 children.
105c	Percentage of deaths with three or more emergency admissions in last three months of life	Annual	2017	Yes	SES	SES is the only CCG in the LQ. <ul style="list-style-type: none"> <li>To move in to IQ range require a decrease of 29</li> </ul>
107b	Antimicrobial resistance: appropriate prescribing of broad spectrum antibiotics in primary care	Monthly (rolling 12 months)	2019 02	Yes	SAS	NS and ES are in the upper half of the IQ with a reduction of 1230 and 844 required to move them in to the UQ.
108a	The proportion of carers with a long term condition who feel supported to manage their condition	Annual	2018	No	None	SES and SOT in the upper half of the IQ <ul style="list-style-type: none"> <li>SES would require an increase of 1</li> <li>SOT would require an increase of 4</li> </ul>
123a	Improving Access to Psychological Therapies – recovery	Quarterly	18-19 Q3	No	None	SAS requires an increase of 7 patients to move into the UQ
123b	Improving Access to Psychological Therapies – access	Quarterly	18-19 Q3	No	None	NS, SES, SAS and SOT are all in the upper part of the LQ requiring an increase of 55, 70, 32 and 84 patients.
123c	People with first episode of psychosis starting treatment with a NICE-recommended package of care treated within 2 weeks of referral	Monthly (rolling 12 months)	2019 Q3	Yes	None	SOT is in the upper part of the IQ requiring 3 more patients to move into UQ.
124b	Proportion of people with a learning disability on the GP register receiving an annual health check	Annual	2017-18	Yes	Cannock, SES and SAS	NS is in the upper half of the IQ and would require an increase of 14 patients to move into UQ.
125a	Neonatal mortality and stillbirths	Annual	2016	No	East, Stoke	SES is in the upper half of the IQ requiring a decrease of 3 and Stoke in the upper half of the LQ requiring a decrease of 1.
126a	Estimated diagnosis rate for people with dementia	Monthly	Feb 2019	Yes	East, SES and SAS	NS is in the upper half of the IQ requiring a increase of 2

# Where is the CCG focusing to improve performance on the IAF?

Ref	Name	Frequency	Latest period	Refreshed in Q4 IAF	Lowest Quartile	Opportunities
128b	Patient experience of GP services	Annual	2018	No	None	NS is in the upper half of the IQ range requiring an increase of 25 to move into UQ. All other CCGs being in the lower half of the IQ range.
128d	Primary care workforce	Biannually	2018 09	No	None	ES are in the upper part of the IQ and require an increase of 4 to be in the UQ
144a	Utilisation of NHS e-referral service	Monthly	2019 03	Yes	None	SES requires an increase of 1 to move into the UQ.